

- 1. Name of Listed Entity: Cartrade Tech Limited
- 2. Scrip Code/Name of Scrip/Class of Security: CARTRADE
- 3. Share Holding Pattern Filed under: 31b
- 4. Share Holding Pattern as on: 30-Sep-2021
- 5. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	



Table I - Summary Statement holding of specified securities

Catego ry (I)	Category of shareholder (II)	Nos. of shareh olders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	h	(1	ch clas ırities IX)	s of	No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share	Numb Locke sha	ed in res	St plea othe encu	nber of nares Iged or erwise mbered XIII)	Number of equity shares held in dematerializ ed form
							As a % of (A+B+C2)	Clas s eg: X	of Votii Rights Clas s eg:y	Tot al	Total as a % of (A+B+ C)	Warrants) (X)	capital)  (XI)= (VII)+(X)  As a % of  (A+B+C2)	No. (a)	As a % of total Shar es held (b)	No. (a)	As a % of total Share s held (b)	(XIV)
A	Promoter & Promoter Group	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
В	Public	52430 5	4583406 7	0	0	458340 67		4583 4067	0	458 340 67	100	0	100	27166 851	59.27			45723617
С	Non Promoter- Non Public	0	0	0	0	0		0	0	0	0	0		0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	52430 5	4583406 7	0	0	458340 67		4583 4067	0	458 340 67	100	0	100	27166 851	59.27	0	0	45723617



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total	Sharehol	Numb	er of Voti	ng Righ	ts held in	No. of	Shareholdin	Num	ber of	Nui	mber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	nos.	ding %		ach class	of secu		Shares	g, as a %		red in		hares	equity
	Shareholders		older	paid	equity	underlying	shares held	calculate	4	(	IX)		Underlying Outstanding	assuming full	sh	ares KII)	ple	dged or nerwise	shares held in
	(1)		(III)	up equity	shares held	Depository Receipts	(VII =	d as per SCRR,	1				convertible	conversion	<del> </del> '	KII)		ımbered	dematerializ
				share	(V)	(VI)	IV+V+VI)	1957	1				securities	of	1			(XIII)	ed form
				s held	] ' ′		1		No of	Voting Ri	ghts	Total as	(including	convertible	No.	As a	No.	Asa	(XIV)
				(IV)				As a % of	_			a % of	Warrants)	securities (	(a)	% of	(a)	% of	
								(A+B+C2) (VIII)				Total Voting	(X)	as a percentage	-	total Shar		total share	
								( • 111)	Class X	Class Y	Tot al	rights		of diluted	1	es		s held	
									^	'	aı			share	]	held		(b)	
														capital) (XI) =	4	(b)			
														(XI) = (VII)+(X) as a	1				
														% of	1				
														A+B+C2	1				
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals/Hi		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<u> </u>	ndu undivided																		
	Family																		
b	Central		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Government/																		
	State																		
	Government(s																		
	Government(s																		
	)																		
c	Financial		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Institutions/																		
	Banks																		
1			0	0	0		0	0	0	0		0	0	0	0	0		0	0
d	Any Other		0	0	0	O	0	0	O	O	0	0	0	0	0	0	0	0	0
	(specify)																		
	Sub-Total		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	(A)(1)																		
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	(Non-										1								
	Resident																		
	Individuals/																		
	Foreign																		
	Individuals)																		
b	Government	-	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0



	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total	Sharehol	Numb	er of Votir	ng Righ	ts held in	No. of	Shareholdin	Num	ber of	Nu	mber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	nos.	ding %	ea	ach class		rities	Shares	g, as a %		ked in		hares	equity
	Shareholders		older	paid	equity	underlying	shares	calculate		(1	IX)		Underlying	assuming		ares		dged or	shares held
	(1)		(III)	ир	shares	Depository	held	d as per					Outstanding	full	(2	KII)		nerwise	in
				equity	held	Receipts	(VII =	SCRR,	-				convertible	conversion	4			ımbered	dematerializ
				share s held	(V)	(VI)	IV+V+VI)	1957	No of	Voting Ri	b4-	T-4-1	securities	of	A/-	1.00		(XIII)	ed form
				(IV)	-			As a % of	NO OI	voting Ri	gnts	Total as a % of	(including Warrants)	convertible securities (	No. (a)	As a % of	No. (a)	As a % of	(XIV)
				(10)				(A+B+C2)	†			Total	(X)	as a	(a)	total	(a)	total	
								(VIII)	Class	Class	Tot	Voting	(29)	percentage	1	Shar		share	
			İ				İ	' '	X	Y	al	rights		of diluted	1	es		s held	
									^	'	"	-		share		held		(b)	
														capital)	_	(b)			
														(XI) =	4				
														(VII)+(X) as a % of	4				
														A+B+C2	+				
														A.D.02					
С	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Portfolio																		
	Portiono																		
	Investor																		
e	Any Other		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
			ľ	_						ľ					ľ		ľ	ľ	
	(specify)																		
	Sub-Total		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	(A)(2)																		
	Total		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Shareholding																		
	of Promoter																		
	and Promoter																		
	Group $(A)=$																		
	(A)(1)+(A)(2)																		
1	(A)(1)(A)(2)	l				1	1	1	1	1	1		1	1	1	1	1	1	1



Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareh older (III)	No. of fully paid up equity share	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held <u>VII =</u> <u>IV+V+V</u> I	Sharehol ding % calculate d as per SCRR, 1957	Numb ea	ch class	ng Righ of secu IX)	nts held in prities	No. of Shares Underlying Outstanding convertible securities	Total shareholdin g , as a % assuming full conversion	Loci	ber of ked in ares KII)	Si pled oth encu	nber of hares dged or erwise imbered XIII)	Number of equity shares held in dematerializ ed form
				s held (IV)				As a % of (A+B+C2) VIII	No of	Voting Ri	Tot al	Total as a % of Total Voting rights	(including Warrants) (X)	of convertible securities (as a percentage of diluted share capital) (XI)	No. (a)	As a % of total Shar es held (b)	No. (No t ap pli ca ble ) (a)	As a % of total share s held (Not applic able) (b)	(XIV)
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Mutual Funds/		10	22305 33	0	0	223053	4.87	22305 33	I	223 053 3	4.87	0	4.87	0	0			2230533
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
С	Alternate Investment Funds		10	10482 26	0	0	104822 6	2.29	10482 26	0	104 822 6	2.29	0	2.29	6502 82	62.0 4			1048226
	IIFL Special Opportunities Fund - Series 8			56138 9	0	0	561389	1.22	56138 9	0	561 389	1.22	0	1.22	5447 40	97.0 3			561389
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Foreign Portfolio Investors		70	73474 14	0	0	734741 4	16.03	73474 14	0	734 741 4	16.03	0	16.03	0	0			7347414
	Kuwait Investment Authority Fund 225	AAA TK38 58Q	1	87980 9		0	879809		87980 9		809	1.92	0	1.92	0	0			879809
	Goldman Sachs Funds	AAG CG98		51322 7	0	0	513227	1.12	51322 7	0	513 227	1.12	0	1.12	0	0			513227



	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareh older (III)	No. of fully paid up equity share	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Sharehol ding % calculate d as per SCRR, 1957		ach class		nts held in urities	No. of Shares Underlying Outstanding convertible securities	Total shareholdin g , as a % assuming full conversion	Lock sha	ber of ced in ares (II)	Si pled oth encu	nber of hares dged or erwise umbered (XIII)	Number of equity shares held in dematerializ ed form
				s held (IV)	, ,			As a % of (A+B+C2) VIII	No of	Voting R	ights	Total as a % of Total	(including Warrants) (X)	of convertible securities	No. (a)	As a % of total	No. (No t	As a % of total	(XIV)
									Class X	Class Y	Tot al	Voting rights		(as a percentage of diluted share capital) (XI)		Shar es held (b)	ap pli ca ble ) (a)	share s held (Not applic able) (b)	
	American Funds Insurance Series	87L AAA TA57 08K	1	46352 7	0	0	463527	1.01	46352 7	0	463 527	1.01	0	1.01	0	0			463527
f	Financial Institutions/ Banks		3	18119 5	0	0	181195	0.4	18119 5	0	181 195	0.4	0	0.4	0	0			181195
g	Insurance Companies		4	29002 5	0	0	290025	0.63	29002 5	0	290 025	0.63	0	0.63	0	0			290025
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(1)		97	11097 393	0	0	110973 93	24.21	11097 393	0	110 973 93	24.21	0	24.21	6502 82	5.86			11097393
2	Central Government/ State Government(s )/ President of India		0	0	0	0	0	0	0	0	_	0	0	0	0	0			0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3	Non-		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0



	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareh older (III)	No. of fully paid up equity share	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Sharehol ding % calculate d as per SCRR, 1957	ea	ach class (	of secu IX)		No. of Shares Underlying Outstanding convertible securities	Total shareholdin g, as a % assuming full conversion	Loci sh	ber of ked in ares XII)	Si pled oth encu	mber of hares dged or erwise umbered (XIII)	Number of equity shares held in dematerializ ed form
				s held (IV)				As a % of (A+B+C2) VIII	No of  Class	Voting Ri Class Y	Tot	Total as a % of Total Voting rights	(including Warrants) (X)	of convertible securities (as a percentage	No. (a)	As a % of total Shar es	No. (No t ap pli	As a % of total share s held	(XIV)
									*	7	al	June		of diluted share capital) (XI)		held (b)	ca ble ) (a)	(Not applic able) (b)	
	institutions																		
a	Individuals -		50842 3	83336 19	0	0	833361 9	18.18	83336 19	0	833 361 9	18.18	0	18.18	1643 294	19.7 2			8333619
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		50841 0	65039 25	0	0	650392 5	14.19	65039 25	0	650 392 5	14.19	0	14.19	0	0			6503925
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		13	18296 94	0	0	182969	3.99	18296 94		182 969 4	3.99	0	3.99	1643 294	89.8			1829694
	Vinay Sanghi	AAFP S8872 F	1	98076 3	0	0	980763	2.14	98076 3	0	980 763	2.14	0	2.14	9807 63	100			980763
b	NBFCs registered with RBI		2	680	0	0	680	0	680	0	680	0	0	0	0	0			680
С	Employee Trusts		0	Ů	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Overseas Depositories (holding DRs)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0



	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareh older (III)	No. of fully paid up equity share	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Sharehol ding % calculate d as per SCRR, 1957		ch class		nts held in urities	No. of Shares Underlying Outstanding convertible securities	Total shareholdin g , as a % assuming full conversion	Lock	ber of ced in ares (II)	pled oth encu	nber of hares dged or erwise imbered (XIII)	Number of equity shares held in dematerializ ed form
				s held (IV)	( )			As a % of (A+B+C2) VIII	No of	Voting Ri	ights	Total as a % of Total	(including Warrants) (X)	of convertible securities	No. (a)	As a % of total	No. (No t	As a % of total	(XIV)
									Class X	Class Y	Tot al	Voting rights		(as a percentage of diluted share capital) (XI)		Shar es held (b)	ap pli ca ble ) (a)	share s held (Not applic able) (b)	
	(balancing figure)																		
e	Any Other (specify)		15783	26402 ( 375	)	0	264023 75	57.6	26402 375	0	264 023 75	57.6	0	57.6	2487 3275	1			26291925
	Bodies Corporate		380	57091 (5	)	0	570915	1.25	57091 5	0		1.25	0	1.25	1520 0	2.66			570915
	Clearing Members		166	24586 8	)	0	245868	0.54	24586 8		868		0	0.54	0	0			245868
	Foreign Nationals		2	32257 (6		0	322576		32257 6		576		0	0.7	3225 76	100			322576
	HUF		13758	29044 0	)	0	290440	0.63	29044 0	0	440	0.63	0	0.63	0	0			290440
	LLP		61	34064 (2	)	0	340642	0.74	34064 2	0	340 642	0.74	0	0.74	3480 0	10.2			340642
	Non-Resident Indian (NRI)		415	12513	)	0	12513	0.03	12513	0	125 13	0.03	0	0.03	0	0			12513
	Non-Resident Indian (NRI)		988	14413 (2	)	0	144132	0.31	14413 2	0	144 132	0.31	0	0.31	2545 0	17.6 6			33682
	Overseas Corporate Bodies		12	24475 ( 249	)	0	244752 49	53.4	24475 249	0	244 752 49	53.4	0	53.4	2447 5249	100			24475249
	Investment	AAC CH95 72R		81415 ( 74	)	0	814157 4	17.76	81415 74	0	814 157 4	17.76	0	17.76	8141 574	100			8141574
	Macritchie Investments Pte Ltd	AAF CM12 40G	1	76492 16	)	0	764921 6	16.69	76492 16	0	764 921 6	16.69	0	16.69	7649 216	100			7649216



Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareh older (III)	No. of fully paid up equity share s held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Sharehol ding % calculate d as per SCRR, 1957 As a % of (A+B+C2) VIII	ea	ach class	of secu IX)	Total as	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholdin g, as a % assuming full conversion of convertible securities	Lock sha	As a	Si pled oth encu (No. (No.	nber of nares ged or erwise mbered XIII) As a % of	Number of equity shares held in dematerializ ed form (XIV)
							VIII	Class X	Class Y	Tot al	Total Voting rights	(2)	(as a percentage of diluted share capital)		total Shar es held (b)	t ap pli ca ble ) (a)	total share s held (Not applic able) (b)	
CMDB II	AAF CC97 42B	1	34681 56	0	0	346815 6	7.57	34681 56	0	346 815 6	7.57	0	7.57	3468 156	100			3468156
Springfield Venture International	AAV CS92 55R	1	16431 07	0	0	164310 7	3.58	16431 07	0	164 310 7	3.58	0	3.58	1643 107	100			1643107
MCP3 SPV LLC	AAN CM09 77G	1	12990 75	0	0	129907 5	2.83	12990 75	0	129 907 5	2.83	0	2.83	1299 075	100			1299075
Malabar India Fund Limited		1	58048 5	0	0	580485	1.27	58048 5	0	580 485	1.27	0	1.27	5804 85	100			580485
Trusts		1	40	0	0	40	0	40	0	40	0	0	0	0	0			40
Sub-Total (B)(3)		1	34736 674	0	0	347366 74	75.79	34736 674	0	347 366 74	75.79	0	75.79	2651 6569				34626224
Total Public Shareholding (B)= (B)(1)+(B)(2) +(B)(3)		52430 5	45834 067	0	0	458340 67	100	45834 067	0	458 340 67	100	0	100	2716 6851				45723617



# Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol	Numbe	er of Votin	g Righ	ts held in	No. of	Total	Num	ber of	Nun	ber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	shares	ding %	ea	ch class c		rities	Shares	shareholdin		red in		ares	equity
	Shareholders		older	paid	equity	underlying	held	calculate		(L	X)		Underlying	g, as a %		ares		ged or	shares held
	(1)		(III)	up	shares	Depository	(VII =	d as per					Outstanding	assuming	()	(II)		erwise	in
				equity	held	Receipts	IV+V+VI)	SCRR, 1957					convertible	full	1			mbered XIII)	dematerializ
				share s held	(V)	(VI)		1957 As a % of	No of	Voting Rig	ahte	Total as	securities (including	conversion of	No.	As a	No.	As a	ed form (XIV)
				(IV)	-			(A+B+C2)	140 01	voing m	jiilo	a % of	Warrants)	convertible	110.	% of	(No	% of	(Not
				()				(VIII)	İ			Total	(X)	securities (	1	total	t	total	Applicable)
								` ´	Class	Class	Tot	Voting	'	as a		Shar	ар	share	,
					,				X	Y	al	rights		percentage	1	es	pli	s held	
														of diluted	4	held	ca	(Not	
														share capital)	4		ble	applic able)	
														(XI)	1		'	ubic)	
1	Custodian/DR		0	0	0	0	0		0	0	0	0	0	1= ==7	0	0			0
	Holder																		
-			_	-	_	_		_		_	_	-	_	-	_	_			_
2	Employee		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Benefit Trust																		
	(under SEBI																		
	(Share based																		
	Employee																		
	Benefit)																		
	Regulations,																		
	2014)																		
	Total Non-		0	0	0	0	0		0	0	0	0	0		0	0			0
			U	U	U		U		O	U	U	O			U	U			O
	Promoter-																		
	Non Public																		
	Shareholding																		
	(C)=																		
	(C)(1)+(C)(2)																		
				1	1	1		1	1	l			1						



## Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign	Nationality	Details of holding/	exercise of right of the S	SBO in the reporting compan	y, whether direct or in	ndirect*	Date of creation / acquisition of
			national		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	significant
1	Charles Kaye			United States of America	17.76	0	0			
A	HIGHDELL INVESTMEN T LIMITED			Mauritius	17.76			No	No	27-Aug-2021

### Table II- Unclaim Details

Details of Shares which remain unclaimed may be give	en here along with details such as number of shareholders,							
outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.								
No. of shareholders  No of share held								

### Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.			
No. of shareholders  No of share held			
110. Of Shareholders	110 of share neid		
1	9		

#### Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding				
Name of shareholder	Name of PAC	No. of share	Holding %	